



<b>TRANSMITTAL FORM</b>		Application Number	Not Yet Assigned
<i>(To be used for all correspondence after initial filing)</i>	Filing Date	January 27, 2004	
	Inventor	S.K. Ma	
	Group Art Unit	Not Yet Assigned	
	Examiner Name	Not Yet Assigned	
Total Number of Pages in this Submission: 9	Attorney Docket Number	SVL920030110US1	

**ENCLOSURES (check all that apply)**

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits /Declarations <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement; ___ references <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an application) <input checked="" type="checkbox"/> Formal Drawings: <u>8</u> sheets <input type="checkbox"/> Licensing-related papers <input type="checkbox"/> Petition:  <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, and/or Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) ___	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group ( <i>Appeal Notice, Brief, Reply Brief</i> ) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Certificate of Correction <input type="checkbox"/> Fee Address Indication Form <input type="checkbox"/> Other Enclosure(s) <i>(please identify below)</i>
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**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual Name:	David W. Victor, Registration No. 39,867
Signature:	
Date:	January 27, 2004
KONRAD RAYNES & VICTOR, LLP 315 South Beverly Drive, Suite 210 Beverly Hills, California 90212 (310) 556-7983	<input checked="" type="checkbox"/> The Commissioner is authorized to charge any deficiency of fees, or credit any overpayment, to Deposit Account No. 09-0460

**CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that this Transmittal is being deposited with the United States Postal Service with sufficient postage for express mail in an envelope addressed to Commissioner for Patents, Alexandria, VA 22313-1450, or being facsimile transmitted to the USPTO, on the date indicated below.

Typed or Printed name:	David W. Victor
Signature:	
Date:	January 27, 2004
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FORM PTO-1082  
Mail Stop Patent Application  
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Case Docket No. SVL920030110US1  
January 27 2004  
Express Mail Label No. EV821156787US  
0054.0024

Dear Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Steven K. Ma

For: METHOD, SYSTEM, AND PROGRAM FOR NAVIGATING FILES



Enclosed are:

- 8 Sheet(s) of drawings; 15 pages of Application; 9 pages of specification; 1 page of abstract.
- Declaration and Power of Attorney (    unsigned).
- An assignment of the invention to International Business Machines Corporation.
- An associate power of attorney.
- Certified copy of Patent Application No. filed from which priority is claimed under 35 U.S.C. §119.
- IDS; PTO-1449 and 10 references.
- Formal Drawings; 8 sheets.
- Return Postcard.

CALCULATION OF FEES					
ITEM		NO. OF CLAIMS FILED MINUS BASE*	NO. OF CLAIMS OVER BASE	X FEE	\$ AMOUNT
A	TOTAL CLAIMS FEE	30	- 20* =	10	X \$18      \$180
B	INDEPENDENT CLAIMS FEE**	3	- 3* =	0	X \$86      \$0
C	SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)				\$180
D	MULTIPLE-DEPENDENT CLAIMS FEE			SMALL ENTITY FEE = \$140; LARGE ENTITY FEE = \$280	\$0
E	BASIC FEE*			SMALL ENTITY FEE = \$370; LARGE ENTITY FEE = \$770	\$770
F	TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)				\$950
G	ASSIGNMENT RECORDING FEE				\$ 40      \$40
	**LIST INDEPENDENT CLAIMS 1, 11, 21				

Please charge Dep. Acct. No. 09-0460 in the amount of **\$990**      A copy of this sheet is enclosed.

- A check in the amount of \$\_\_\_\_ to cover the filing fee is enclosed.
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  - Any patent application processing fees under 37 CFR 1.17.
  - The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
  - Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,

David W. Victor  
Registration No. 39,867

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(310) 556-7983

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SVL920030110US1  
0054.0024

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for

Examiner: Not Yet Assigned

S.K. Ma

Serial No.: Not Yet Assigned

Art Unit: Not Yet Assigned

Filed: January 27, 2004

For: **METHOD, SYSTEM, AND  
PROGRAM FOR NAVIGATING FILES**

CERTIFICATE OF MAILING

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

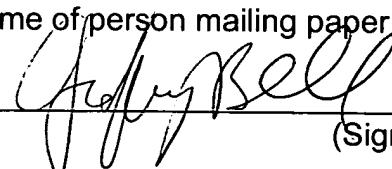
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I hereby certify that patent application papers, including specification, claims and 8 sheets of drawings are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

January 27, 2004

(Date of Deposit)

Geoffrey Bell  
(Name of person mailing paper or fee)

  
(Signature)